

Notice of Meeting

Audit & Governance Committee



Date & time
Thursday, 31 July
2014
at 1.00 pm

Place
Ashcombe Suite,
County Hall, Kingston
upon Thames, Surrey
KT1 2DN

Contact
Cheryl Hardman
Room 122, County Hall
Tel 020 8541 9075

Chief Executive
David McNulty

cherylh@surreycc.gov.uk

If you would like a copy of this agenda or the attached papers in another format, eg large print or braille, or another language please either call 020 8541 9122, write to Democratic Services, Room 122, County Hall, Penrhyn Road, Kingston upon Thames, Surrey KT1 2DN, Minicom 020 8541 8914, fax 020 8541 9009, or email cherylh@surreycc.gov.uk.

This meeting will be held in public. If you would like to attend and you have any special requirements, please contact Cheryl Hardman on 020 8541 9075.

Members

Mr Nick Harrison (Chairman), Mr W D Barker OBE (Vice-Chairman), Mr Denis Fuller, Mr Tim Evans, Mr Will Forster and Mr Tim Hall

Ex Officio:

Mr David Hodge (Leader of the Council), Mr Peter Martin (Deputy Leader), Mr David Munro (Chairman of the County Council) and Mrs Sally Ann B Marks (Vice Chairman of the County Council)

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING: 29 MAY 2014

(Pages 1
- 14)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

To receive any declarations of disclosable pecuniary interests from Members in respect of any item to be considered at the meeting.

Notes:

- In line with the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012, declarations may relate to the interest of the member, or the member's spouse or civil partner, or a person with whom the member is living as husband or wife, or a person with whom the member is living as if they were civil partners and the member is aware they have the interest.
- Members need only disclose interests not currently listed on the Register of Disclosable Pecuniary Interests.
- Members must notify the Monitoring Officer of any interests disclosed at the meeting so they may be added to the Register.
- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*25 July 2014*).
2. The deadline for public questions is seven days before the meeting (*24 July 2014*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER

(Pages
15 - 32)

To review the Committee's recommendations tracker.

6 COMPLETED INTERNAL AUDIT REPORTS

(Pages
33 - 48)

The purpose of this report is to inform Members of the Internal Audit reports that have been completed since the last meeting of this Committee in May 2014.

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| 7 | SOCIAL CARE DEBT | (Pages
49 - 72) |
| | The Committee has requested a progress report with particular emphasis on the proportion of payments made by direct debit. | |
| 8 | STATEMENT OF ACCOUNTS 2013/14 | (Pages
73 - 278) |
| | To inform the Committee of the result of the external audit of the council's 2013/14 Statement of Accounts, to receive the external auditor's Audit Findings Report and to approve the council's letter of representation from the Director of Finance. | |
| 9 | SURREY PENSION FUND ACCOUNTS 2013/14 AND GRANT THORNTON EXTERNAL AUDIT FINDINGS REPORT | (Pages
279 -
356) |
| | This report presents the audited financial statements of the Pension Fund for the year ended 31 March 2014, in light of the County Council's obligations as the administering authority under the Local Government Pension Scheme (LGPS) Regulations. | |
| | The external auditor (Grant Thornton) has issued an unqualified opinion on the accounts and this will be outlined in the Grant Thornton External Audit Findings for Surrey Pension Fund Report. | |
| 10 | EXTERNAL AUDIT REPORT ON VALUE FOR MONEY FOR SURREY COUNTY COUNCIL | (Pages
357 -
414) |
| | The purpose of this report is to inform the Committee of the results of the external auditor's review of the Council's arrangements for securing financial resilience. | |
| 11 | TREASURY MANAGEMENT OUTTURN REPORT 2013/14 | (Pages
415 -
432) |
| | This report summarises the council's treasury management activity during 2013/14, as required to ensure compliance with CIPFA's Code of Practice for Treasury Management. The report also covers the council's Prudential Indicators for 2013/14, in accordance with the requirements of the CIPFA Prudential Code for Capital Finance in Local Authorities. | |
| 12 | ANNUAL REPORT OF SURREY COUNTY COUNCIL | (Pages
433 -
508) |
| | To formally consider the Annual Report for the authority. | |

13 LEADERSHIP RISK REGISTER

(Pages
509 -
518)

The purpose of this report is to present the latest Leadership Risk Register and update the committee on any changes made since the last meeting.

David McNulty
Chief Executive

Published: Date Not Specified

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